

# Unveiling $\nu$ secrets with cosmology

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Based on:

arXiv:1605.04320 [Phys. Rev. D**94** (2016) 083522],

arXiv:1610.08830 [Phys. Rev. D**95** (2017) 043512],

arXiv:1701.08172 [Phys. Rev. D, in press],

arXiv:1703.04585

with Katherine Freese, Shirley Ho, Olga Mena, Martina Gerbino,  
Elena Giusarma, Massimiliano Lattanzi, Thomas Schwetz, Steen Hannestad

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## Overview: Qs (& As)

- Q: How constraining are the bounds on  $M_\nu$  from cosmology *if we believe some of the most recent datasets?*  
A: **VERY**
- Q: *Within a flat  $\Lambda$ CDM background and with recent datasets, is shape [ $P(k)$ ] or geometrical (BAO) information more constraining?*  
A: **GEOMETRICAL**<sup>1</sup>
- Q: Can we say something quantitatively interesting and statistically correct about the  $\nu$  mass hierarchy with data from cosmology?  
A: **YES, WE CAN (BUT WE HAVE TO BE VERY CAREFUL)**
- Q: Do assumptions on the distribution of mass among the three eigenstates matter?  
A: **NOT MUCH**
- Q: How does the future of neutrino cosmology look?  
A: **VERY EXCITING!!!**

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<sup>1</sup>with caveats

# The Cosmic Neutrino Background ( $C\nu B$ )

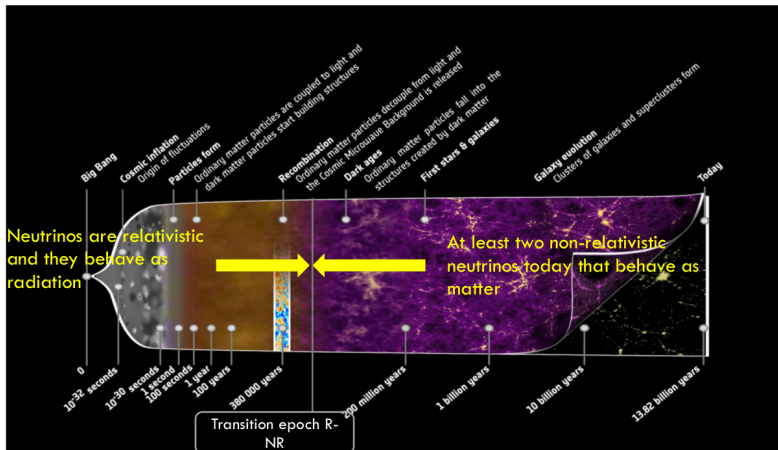
- The presence of a background of relic neutrinos ( $C\nu B$ ) is a basic prediction of the standard cosmological model
- Weak interactions maintain  $\nu$ s in thermal equilibrium with the primeval cosmological plasma until  $T \sim 1 \text{ MeV}$  ( $z \sim 10^{10}$ )
- Below  $T \sim 1 \text{ MeV}$   $\nu$ s free-stream keeping an equilibrium spectrum:

$$f_\nu(p, T) = \frac{1}{e^{\frac{p-\mu}{T}} + 1}$$

- When the temperature drops below their mass, neutrinos turn non-relativistic, and their free-streaming suppresses the growth of structure on small scales (**VERY IMPORTANT**)
- Today  $T_\nu \simeq 1.9 \text{ K}$ ,  $n_\nu \simeq 113 \text{ cm}^{-3}$ ,  $N_{\text{eff}} = 3.046$

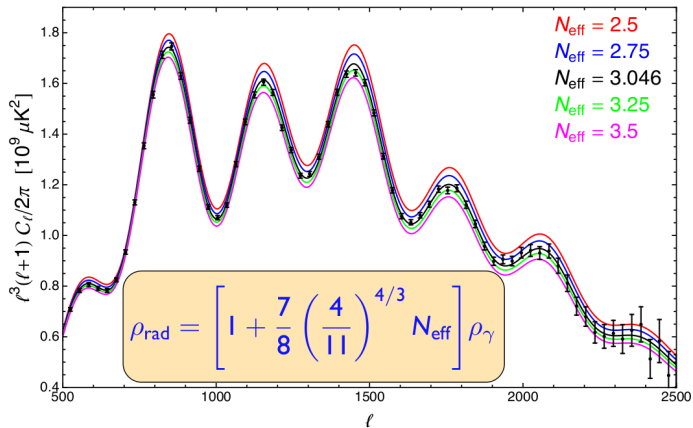
# The Cosmic Neutrino Background ( $C\nu B$ )

Neutrinos behave as radiation at early times, as matter at late times



# The Cosmic Neutrino Background ( $C\nu B$ )

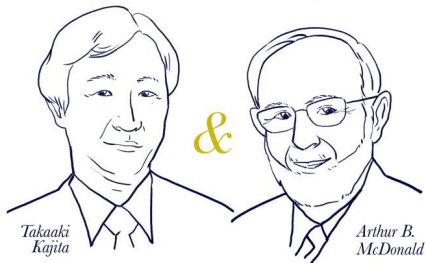
This picture is consistent with current CMB observations:



# Neutrino masses

Nobel Prize 2015: “*för upptäckten av neutrinooscillationer, som visar att neutriner har massa*” (“for the discovery of neutrino oscillations, which shows that neutrinos have mass”)

2015 NOBEL PRIZE  
*in Physics*



NEUTRINO OSCILLATIONS  
The discovery of these oscillations shows that neutrinos have mass.

## Neutrinos from the lab

Flavour eigenstates are linear superposition of mass eigenstates:

$$|\nu_\alpha\rangle = U_{\alpha i}^* |\nu_i\rangle$$

The observation of flavour oscillations indicates that the mass eigenstates are non-degenerate. From oscillation experiments we measure the mass-squared differences very well:

$$\begin{aligned}\Delta m_{21}^2 &\equiv m_2^2 - m_1^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2, \\ |\Delta m_{31}^2| &\equiv |m_3^2 - m_1^2| = (2.48 \pm 0.06) \times 10^{-3} \text{ eV}^2.\end{aligned}$$

3 mixing angles are also quite well known.

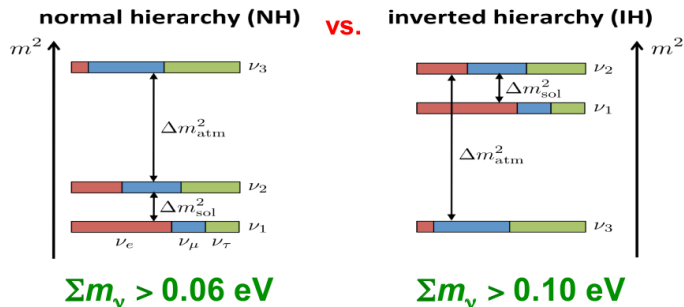
## Neutrino unknowns

- Absolute mass scale  $M_\nu \equiv \sum_i m_{\nu_i}$
- Mass hierarchy (normal or inverted), i.e. sign of  $m_{31}^2$
- $\theta_{23}$  octant
- Dirac vs Majorana nature
- CP violation
- Sterile eigenstates



# Neutrino mass hierarchy

Oscillation data put a lower limit on the absolute mass scale according to the mass hierarchy:

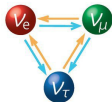


$$M_{\nu, \min} = \sqrt{\Delta m_{21}^2} + \sqrt{\Delta m_{31}^2} \simeq 0.06 \text{ eV (NH)}$$

$$M_{\nu, \min} = \sqrt{\Delta m_{31}^2} + \sqrt{\Delta m_{31}^2 + \Delta m_{21}^2} \simeq 0.1 \text{ eV (IH)}$$

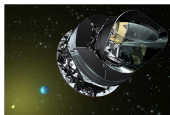
## Neutrino oscillations

- Sensitive to mass-squared differences  
 $\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$
- Exploits quantum-mechanical effects
- Currently not sensitive to the mass hierarchy



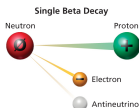
## Cosmology

- Sensitive to sum of neutrino masses  
 $M_\nu \equiv \sum_i m_i$
- Exploits GR+Boltzmann equations
- Tightest limits, but somewhat model-dependent



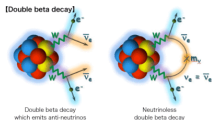
## Beta decay

- Sensitive to effective electron neutrino mass  
 $m_\beta^2 \equiv \sum_i |U_{ei}|^2 m_i^2$
- Exploits conservation of energy
- Model-independent, but less tight bounds

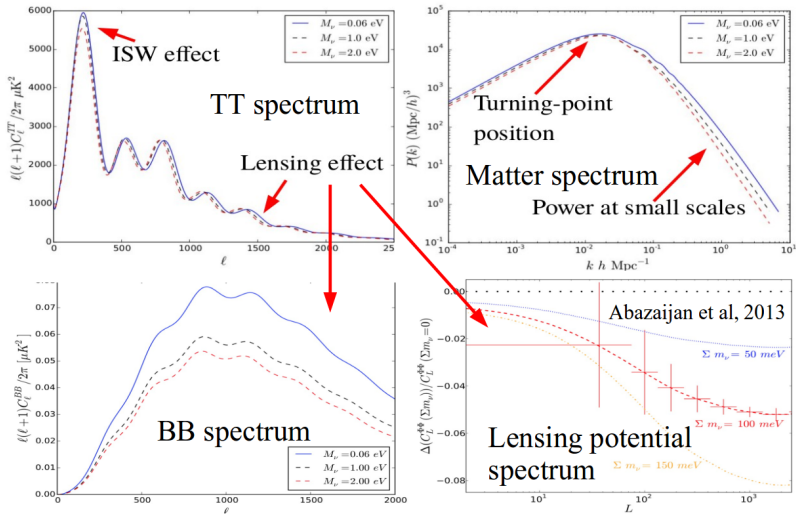


## Neutrinoless double-beta decay

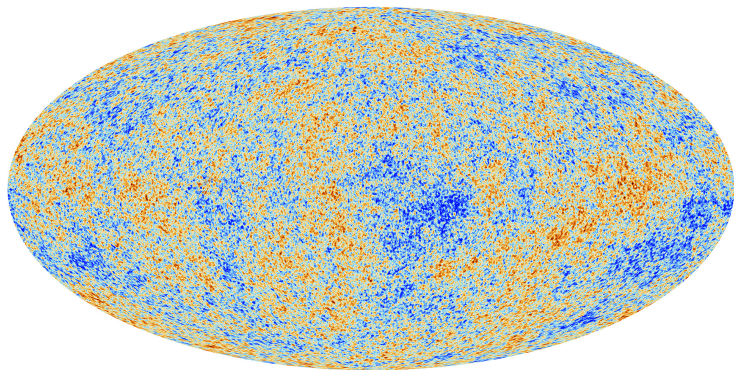
- Sensitive to effective Majorana mass  
 $m_{\beta\beta} \equiv \sum_i |U_{ei}^2 m_i|$
- Exploits GR+Boltzmann equations
- Limited by NME uncertainties and  $\nu$  nature



# How can cosmology measure neutrino masses?



## Cosmological datasets: Cosmic Microwave Background

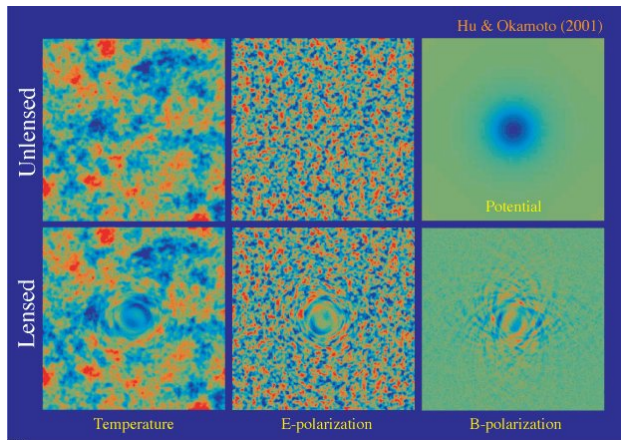


Blackbody radiation at  $T = 2.7\text{K}$ , uniform to 1 part in  $10^5$  across the whole sky, emitted at the time of recombination ( $z \simeq 1100$ ). Contains tiny temperature and polarization anisotropies which encode a wealth of cosmological information.

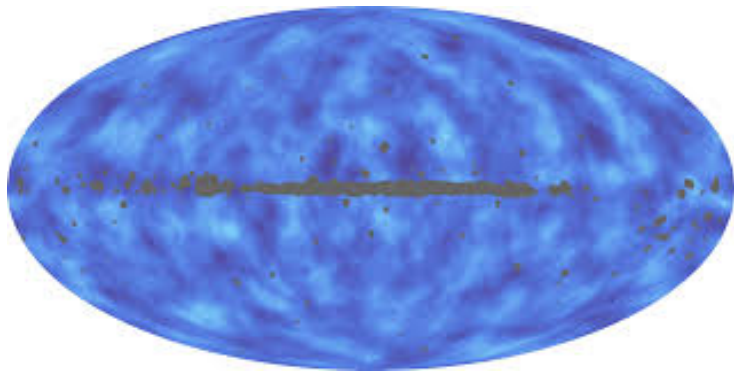
## Cosmological datasets: CMB lensing

CMB photons deflected according to the deflection field  $\vec{d} = \vec{\nabla}\phi$ , with lensing potential  $\phi$  given by:

$$\phi = - \int_0^{\chi^*} d\chi \frac{\chi^* - \chi}{\chi^* \chi} (\Phi + \Psi)$$

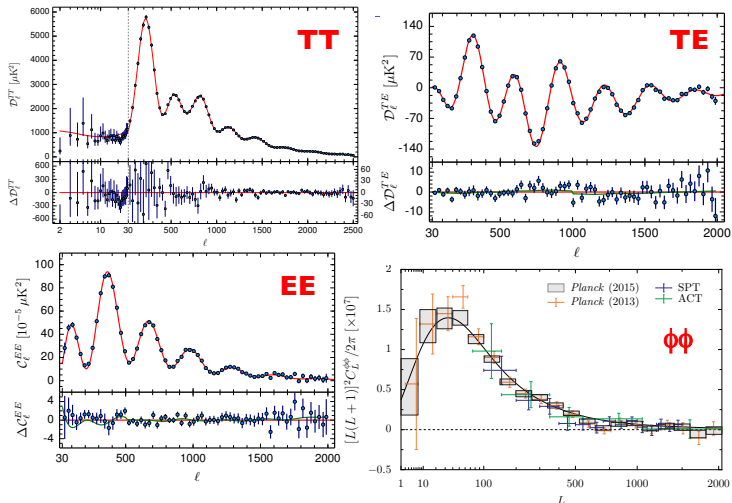


## Cosmological datasets: CMB lensing



Credits: Planck collaboration

# Cosmological datasets: CMB spectra



Note: red curve obtained from 6-parameter  $\Lambda$ CDM model fit to TT **only**

## Cosmological datasets: CMB spectra

- *Planck*  $TT+lowP$ : temperature data ( $TT$  for  $2 < \ell < 2508$ ) and large-scale polarization data ( $EE$ ,  $BB$ ,  $TE$  for  $2 < \ell < 29$ )
- *Planck*  $TTTEEE+lowP$ : same as above with the addition of small-scale polarization data ( $TE$ ,  $EE$  for  $30 < \ell < 1996$ ), less conservative as might still be contaminated by systematics (temperature-polarization leakage)
- *lensing*: lensing potential spectrum ( $\phi\phi$  for  $40 < \ell < 400$ )
- Planck 2017 re-analysis? New likelihoods not public yet, can use new measurements of optical depth to reionization  $\tau = 0.055 \pm 0.009$  as a proxy for the large-scale polarization spectra



## Neutrino masses and the CMB: background level

Neutrinos can affect the CMB at both background and perturbation level.

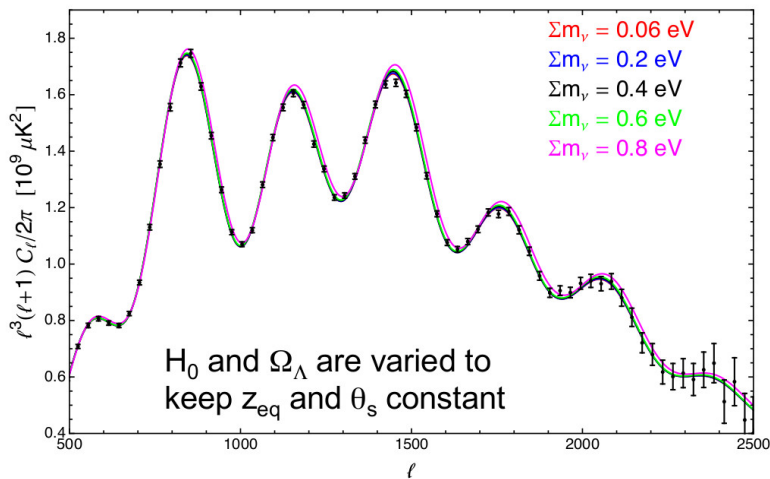
At the background level: [see e.g. reviews by Wong 2011, Lesgourgues & Pastor 2012](#)

- Since  $\Omega_m$  is precisely known, increasing  $M_\nu$  leads to shift in background quantities such as  $z_{\text{eq}}$  and  $d_A(z_{\text{eq}})$  which mostly affect the first peak through the early ISW effect<sup>2</sup>...
- ...however, due to parameter degeneracies, these shifts can be compensated by acting on other parameters, notably  $H_0$
- If one varies  $M_\nu$ , and simultaneously  $H_0$  and  $\Omega_\Lambda$  as to keep  $z_{\text{eq}}$  and  $d_A(z_{\text{eq}})$  fixed, the largest remaining effects are small shifts of the first peaks to higher  $\ell$  (WMAP:  $M_\nu < 1 \text{ eV}$  @95% C.L.)...
- ...small changes to the Silk damping scale...
- ...and larger changes at low- $\ell$  due to the late ISW effect, which however is essentially unconstrained

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<sup>2</sup>Contribution to the CMB temperature anisotropies due to the time-variation of gravitational potentials around the time of recombination

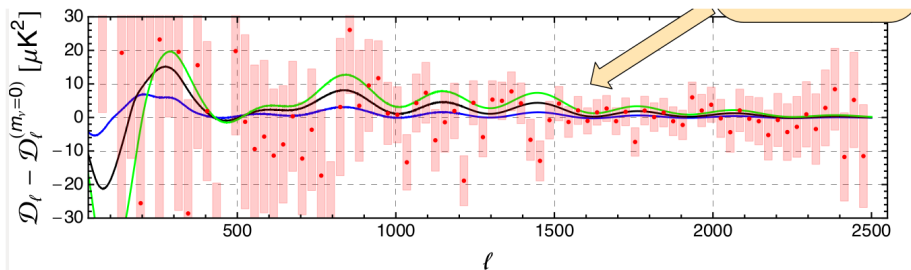
# Neutrino masses and the CMB: background level



## Neutrino masses and the CMB: perturbation level

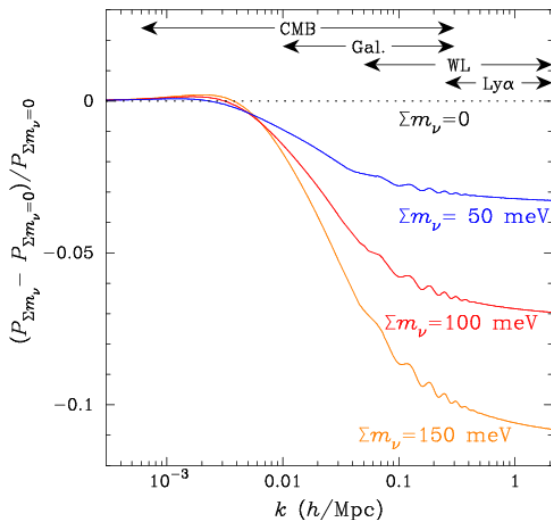
At the perturbation level:

- Massive neutrinos free-streaming damps small-scale perturbations...
- ...less structure=less lensing=less smearing of the small-scale power spectrum of the CMB (Planck:  $M_\nu < 0.72 \text{ eV}$  @95% C.L.)
- This is a secondary anisotropy effect, i.e. it acts after the CMB has formed, but is affecting the way the CMB photons travel to us!



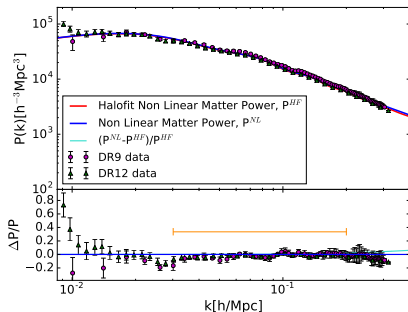
# Neutrino masses and the large-scale structure

Free-streaming of neutrinos suppresses growth of structure on small scales



# Cosmological datasets: galaxy power spectrum

## BOSS DR12 CMASS $P(k)$



Modelling of data and theory within likelihood:

$$P_{\text{meas}}^g(k_i) = \sum_j W(k_i, k_j) P_{\text{true}}^g(k_j)$$

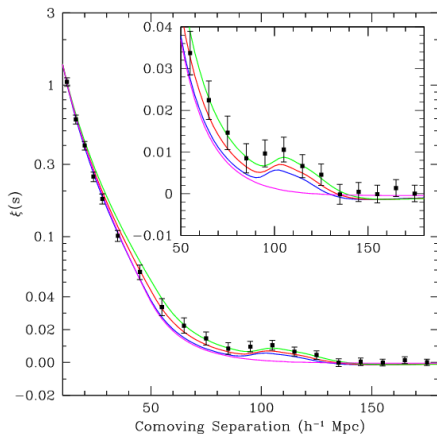
$$P_{\text{th}}^g(k, z) = b_{\text{HF}}^2 P_{\text{HF}\nu}^m(k, z) + P_{\text{HF}}^s$$

- Power on small scales is affected by free-streaming of neutrinos:

$$\frac{\Delta P(k)}{P(k)} \sim -8f_{\nu}, \quad k_{\text{nr}} \simeq 0.018 \Omega_m^{\frac{1}{2}} \left( \frac{m}{1 \text{ eV}} \right)^{\frac{1}{2}} h \text{ Mpc}^{-1}$$

- Issues: (scale-dependent?) bias, non-linearities, redshift-space distortions, systematics

# Cosmological datasets: Baryon Acoustic Oscillations



Approximately constrain the quantity  $D_V(z_{\text{eff}})/r_s(z_{\text{drag}})$ , where:

$$D_V(z) = \left[ (1+z)^2 D_A(z)^2 \frac{cz}{H(z)} \right]^{\frac{1}{3}}$$

Several BAO measurements available  
(BOSS DR11/DR12  
CMASS/LOWZ, WiggleZ, 6dFGS)

- Standard ruler: constrain expansion history and break degeneracies (mainly involving  $\Omega_m$  and  $H_0$ )
- Substantially less affected by systematics (bias, non-linear evolution)
- Help constraining neutrino masses by pinning down background quantities

## Cosmological datasets: other “external” datasets

- Optical depth to reionization  $\tau = 0.055 \pm 0.009$  from Planck HFI
- Direct measurements of the Hubble parameter  
 $H_0 = 73.02 \pm 1.79 \text{ km/s/Mpc}$
- Planck SZ clusters
- Weak lensing measurements (e.g. CHFTLenS)

Each of them is important for resolving parameter degeneracies:

- Degeneracy between  $M_\nu$  and  $\tau$  in CMB and  $P(k)$ :  $\tau \downarrow \implies M_\nu \downarrow$
- Degeneracy between  $M_\nu$  and  $H_0$  with CMB, affects distance to last scattering:  $H_0 \uparrow \implies M_\nu \downarrow$  (careful with tensions)
- Cluster mass function probes  $\Omega_m$  and  $\sigma_8$ , important for fixing the normalization of  $P(k)$
- Weak lensing also probes  $\Omega_m$  and  $\sigma_8$ , and in particular the combination  $S_8 = \sigma_8 \Omega_m^{0.5}$  (careful with tensions)

## Analysis method

Bayes' theorem (datasets= $\mathbf{x}$ , cosmological parameters= $\theta$ ):

$$\begin{aligned} p(\theta|\mathbf{x}) &\propto \mathcal{L}(\mathbf{x}|\theta)p(\theta) \\ p(\theta) &: \text{posterior} \\ \mathcal{L}(\mathbf{x}|\theta) &: \text{likelihood} \\ p(\theta) &: \text{prior} \end{aligned}$$

Vary 6 basic cosmological parameters  $\Omega_b h^2, \Omega_c h^2, \Theta_s, \tau, n_s, \log(10^{10} A_s)$  +  $M_\nu$  + many other nuisance parameters.

Sample posterior using Markov chain Monte Carlo (MCMC) techniques, implemented in the CosmoMC code.

Then report 95% C.L. upper limit on  $M_\nu$ ,  $M_{95}$ , such that:

$$\frac{\int_{M_0}^{M_{95}} dM_\nu p(M_\nu)}{\int_{M_0}^{\infty} dM_\nu p(M_\nu)} = 0.95$$



## Results: overview

Results reported assuming a spectrum of three massive degenerate  $\nu$ s

SV et al. 2017

*PlanckTT+lowP*:  $M_\nu < 0.716$  eV  
@95% C.L.

- $+P(k)$ :  $< \mathbf{0.299}$  eV
- $+P(k)+\text{BAO}$ :  $< \mathbf{0.246}$  eV
- $+P(k)+\text{BAO}+\tau$ :  $< \mathbf{0.205}$  eV
- $+P(k)+\text{BAO}+\text{SZ}$ :  $< \mathbf{0.239}$  eV
- $+P(k)+\text{BAO}+H_0$ :  $< \mathbf{0.164}$  eV
- $+P(k)+\text{BAO}+H_0+\tau$ :  
 $< \mathbf{0.140}$  eV
- $+P(k)+\text{BAO}+H_0+\tau+\text{SZ}$ :  
 $< \mathbf{0.136}$  eV

*PlanckTT+lowP+TTTEEEE*:  
 $M_\nu < \mathbf{0.485}$  eV @95% C.L.

- $+P(k)$ :  $< \mathbf{0.275}$  eV
- $+P(k)+\text{BAO}$ :  $< \mathbf{0.215}$  eV
- $+P(k)+\text{BAO}+\tau$ :  $< \mathbf{0.177}$  eV
- $+P(k)+\text{BAO}+\text{SZ}$ :  $< \mathbf{0.208}$  eV
- $+P(k)+\text{BAO}+H_0$ :  $< \mathbf{0.132}$  eV
- $+P(k)+\text{BAO}+H_0+\tau$ :  
 $< \mathbf{0.109}$  eV
- $+P(k)+\text{BAO}+H_0+\tau+\text{SZ}$ :  
 $< \mathbf{0.117}$  eV

## Constraints on $M_\nu$ : take home messages

- Bounds on  $M_\nu$  from cosmology are **VERY** strong
- Pay attention to tensions between datasets which can drive very strong  $M_\nu$  constraints or spurious detections of non-zero  $M_\nu$
- A robust 95% C.L. upper bound is about  $M_\nu < 0.15 \text{ eV}$
- We are approaching the region of parameter space where the inverted hierarchy is disfavoured
- Some residual model dependency in the bounds as they assume a background flat  $\Lambda$ CDM Universe
- In any case we can safely say that  $M_\nu \ll 1 \text{ eV}$



THE

**TAKE-HOME MESSAGE**

## Shape vs geometry

What's more constraining: shape [ $P(k)$ ] or geometrical (BAO) information? To answer this question we replace the DR12 CMASS  $P(k)$  by the DR11 CMASS BAO information

*Planck*TT+lowP+BAO:

$M_\nu < \mathbf{0.186}$  eV @95% C.L.

- $+\tau$ :  $< \mathbf{0.151}$  eV
- $+H_0$ :  $< \mathbf{0.148}$  eV
- $+H_0+\tau$ :  $< \mathbf{0.115}$  eV
- $+H_0+\tau+\text{SZ}$ :  $< \mathbf{0.114}$  eV

*Planck*TT+lowP+TTTEEEE:

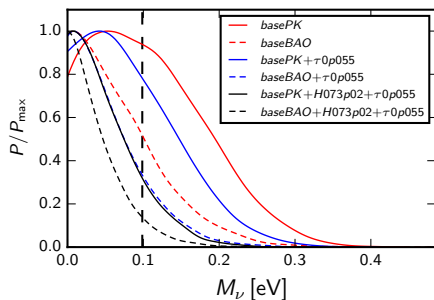
$M_\nu < \mathbf{0.153}$  eV @95% C.L.

- $+\tau$ :  $< \mathbf{0.118}$  eV
- $+H_0$ :  $< \mathbf{0.113}$  eV
- $+H_0+\tau$ :  $< \mathbf{0.094}$  eV
- $+H_0+\tau+\text{SZ}$ :  $< \mathbf{0.093}$  eV

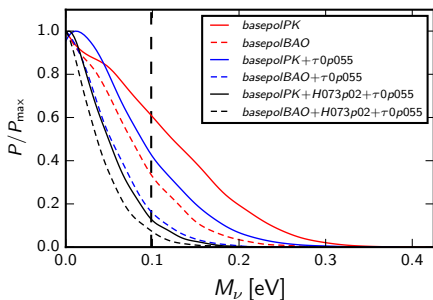
# Shape vs geometry

$M_\nu$  posteriors: compare shape information (solid) with geometrical information (dashed), for a given color [SV et al. 2017](#)

Without small-scale polarization



With small-scale polarization



**Geometrical** information more constraining than shape (*win-win*, as BAO also less prone to systematics), **BUT**:

- True within the assumption of a background flat  $\Lambda$ CDM
- Limit of our analysis methodology (e.g. we don't know the bias)

## How to make shape measurements more constraining?

The biggest limitation is our ignorance of the (scale-dependent) galaxy bias  $b$ ,  $P_{gg} = b^2 P_{mm}$ . There are some clean ways for measuring it

- CMB lensing convergence-galaxy angular cross-spectrum:

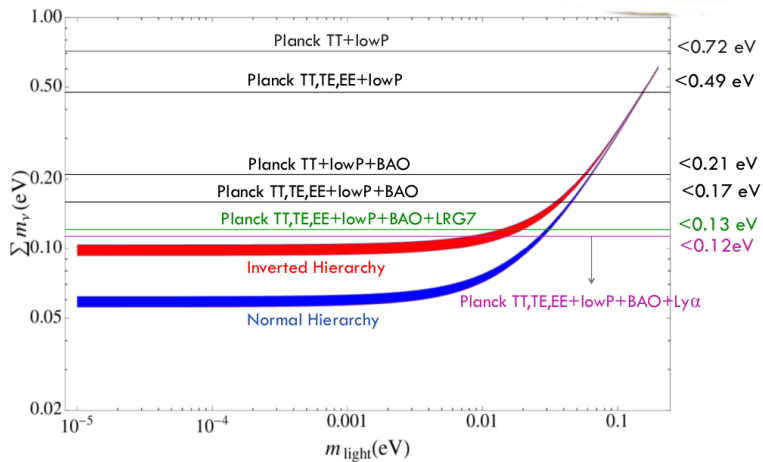
$$C_{\ell}^{\kappa g} = \frac{3H_0^2 \Omega_m}{2c^2} \int_{z_1}^{z_2} dz \frac{\chi^* - \chi(z)}{\chi(z)\chi^*} (1+z)b \left( \frac{\ell}{\chi(z)} \right) P \left( \frac{\ell}{\chi(z)}, z \right)$$

- The simplest form of (scale-dependent) bias is given by:

$$b(k) = a + ck^2$$

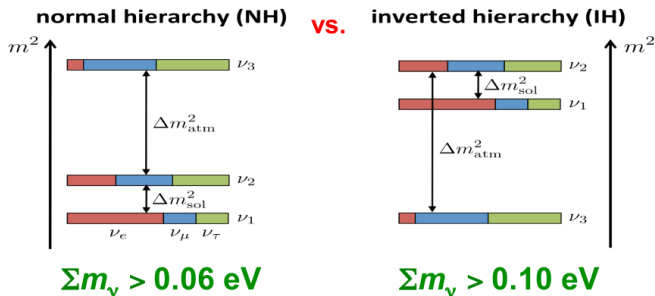
- Work in progress: with a Gaussian prior  $a = 2.1 \pm 0.1$  measured from  $C_{\ell}^{\kappa g}$ , 95% C.L. upper bound on  $M_{\nu}$  using *Planck*TT+lowP+P(k) improves from  $\sim \mathbf{0.30}$  eV to  $\sim \mathbf{0.16}$  eV!!

# Where are we in the greater picture?



# What about the mass hierarchy?

- For each mass hierarchy, there exists a minimal allowed value for  $M_\nu$ ...
- ...so naïvely you would say that if we set a limit  $M_\nu < 0.1 \text{ eV}$  we know the neutrino hierarchy is normal! (and have a paper in *Nature/Science/PRL*)



## Bayesian model comparison between hierarchies

- What we really have to solve is a model comparison problem between two models: normal hierarchy (NH) and inverted hierarchy (IH).
- In other words, compute the **evidence** for given hierarchy  $\mathcal{E}(\mathbf{x}|m_0, H)$  as a function of lightest neutrino mass  $m_0$  and hierarchy  $H = N, I$ :

$$\mathcal{E}_H = \int_0^\infty dm_0 \pi(m_0) \int d\theta \pi(\theta) \mathcal{L}(\mathbf{x}|m_0, \theta, H)$$

- Then posterior odds for a given hierarchy  $H = N, I$ ,  $p_H$ , is simply given by:

$$p_H = \frac{\pi(H)\mathcal{E}_H}{\pi(N)\mathcal{E}_N + \pi(I)\mathcal{E}_I}$$



## Odds for mass hierarchies

From odds for given hierarchy  $p_H$  the confidence level at which IH is excluded is  $CL_{IH} = 1 - p_I$ . This is  $\neq$  confidence level at which we exclude the minimal mass in the IH (0.1 eV),  $CL_{0.1}$ . Examples: [SV et al. 2017](#)

- *PlanckTT+lowP+BAO+ $\tau$* :  $M_\nu < 0.151$  eV @95% C.L.  
 $p_N/p_I = \mathbf{1.8 : 1}$ ,  $CL_{IH} = \mathbf{64\%}$ ,  $CL_{0.1} = \mathbf{82\%}$
- *+TTTEEE*  $M_\nu < 0.118$  eV @95% C.L.  
 $p_N/p_I = \mathbf{2.4 : 1}$ ,  $CL_{IH} = \mathbf{71\%}$ ,  $CL_{0.1} = \mathbf{91\%}$
- *+ $H_0$ +SZ*:  $M_\nu < 0.093$  eV @95% C.L.  
 $p_N/p_I = \mathbf{3.3 : 1}$ ,  $CL_{IH} = \mathbf{77\%}$ ,  $CL_{0.1} = \mathbf{96\%}$

You might have seen claims of huge (42:1, 95:1, >100:1) odds in favour of NH in a recent paper [Simpson et al. 2017](#)

That's what happens when you play around with your priors  $\pi(N)$  and  $\pi(H)$  in an inappropriate way! [See rebuttal paper, Schwetz et al. \(incl. SV\) 2017](#)

## Where is the sensitivity to the hierarchy coming from?

- Current cosmological data is mostly sensitive to  $M_\nu$ , and not individual masses  $m_i$
- Sensitivity to the mass hierarchy is only due to **volume effects**
- We are approaching the region of parameter space where these volume effects are very important
- Current data cannot distinguish between the two mass hierarchies based on physical effects
- Futuristic data might be able to measure individual neutrino masses through their free-streaming imprint on  $P(k)$  and on the early ISW effect
- In the most optimistic case, we need a sensitivity of  $0.02 \text{ eV}$  to distinguish between NH and IH at  $2\sigma$  (reachable with CMB-S4/CORe+DESI BAO) through volume effects alone
- My take: I don't think we'll ever measure individual neutrino masses from cosmology through physical effects

## Constraints on mass hierarchy: take home messages

- There is a weak preference ( $\sim 2 : 1$ ) for the NH from cosmology
- Even with the least conservative datasets at most  $\sim 3.3 : 1$  preference
- All preference for the NH is driven by volume effects
- Corollary of the above: be careful how you weigh your prior volume!

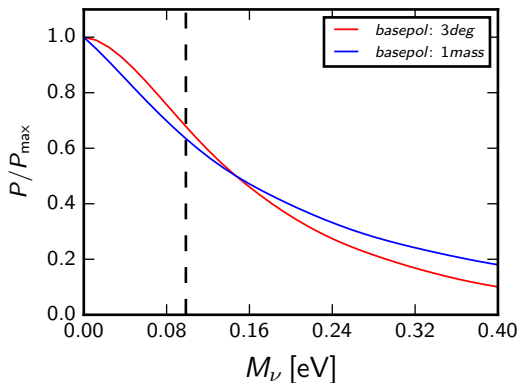


**THE**

**TAKE-HOME MESSAGE**

## Assumptions on the neutrino mass spectrum

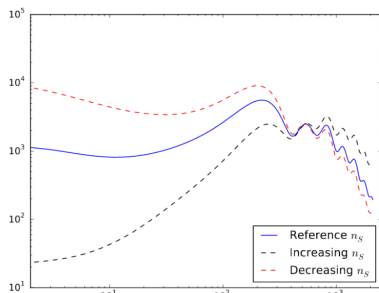
- Bounds derived assuming 3 massive degenerate  $\nu$ s spectrum (*3deg*)
- Compare results when considering 1 massive + 2 massless  $\nu$ s (*1mass*)
- *1mass* more constrained than *3deg* when not using high- $\ell$  polarization, less constraining otherwise ( $\mathcal{O}(0.1)\sigma$  shifts) [SV et al. 2017](#)



# Do assumptions on neutrinos bias inflationary model selection?

Yes and no! There is a bias if we only consider CMB data:

- Increasing  $M_\nu$  decreases the amount of lensing and hence the smearing of the damping tail, so gives more power to the damping tail
- Effect can be compensated by decreasing  $n_s$  (tilting the primordial power spectrum to give less power to the damping tail)
- $M_\nu$  and  $n_s$  are partially anti-correlated:  $M_\nu \uparrow \implies n_s \downarrow$ . This is important for inflationary models! [Gerbino, Freese, SV, et al. 2016](#)

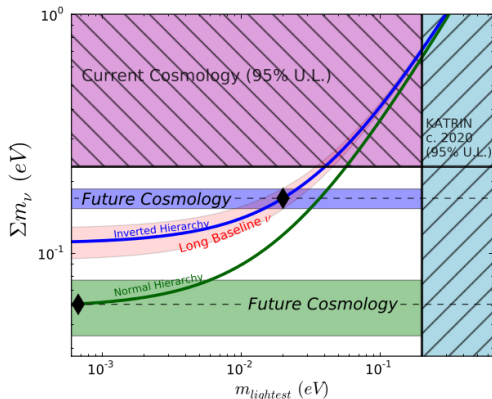


# The future of neutrino cosmology

- Future CMB experiments: e.g. Advanced ACTPol, SPT-3G, **Simons Observatory**, CMB-S4, **E4?**
- CMB lensing is the next frontier in CMB physics
- Future cluster surveys
- Future galaxy surveys: e.g. eBOSS, DESI, LSST
- Galaxy weak lensing (cosmic shear): e.g. EUCLID
- Lyman  $\alpha$ : can go to very small scales
- 21-cm H line survey: e.g. SKA

# The future of neutrino cosmology

Q: what do future cosmological surveys have in store for  $\nu$ s?



**CMB Lensing (current galaxy clustering):**

Stage-IV CMB	45
Stage-IV CMB + BOSS BAO	25

**CMB Lensing + Galaxy clustering:**

Stage-IV CMB + eBOSS BAO	23
Stage-IV CMB + DESI BAO	16
Stage-IV CMB no lensing + DESI galaxy clustering	15/20

**Galaxy Weak Lensing:**

Planck + LSST [51]	23
Planck + Euclid [48]	25

Credits: K. Abazajian et al., arXiv:1309.5383

A: a sure detection of  $M_\nu$  and possibly of the mass hierarchy!

# Conclusions

- Cosmology provides tightest constraints on  $\nu$  masses, tightest constraint currently is  $M_\nu < 0.093 \text{ eV}$  @95% C.L.
- Geometrical surpasses shape information in constraining power, but improvements in the latter can be expected from lensing-galaxy cross-correlation which can nail down the scale-dependent bias
- Data are putting the inverted hierarchy under pressure, excluded at most @77% C.L., but be careful with the choice of prior!
- Unphysical assumptions on the neutrino mass spectrum do not bias  $M_\nu$  bounds, but could bias inflationary model selection
- The future of  $\nu$  cosmology is very bright, with a detection of  $M_\nu$  and possibly the hierarchy expected within the next years: stay tuned!